

ISO 9001:2015: Audit Report Stage 1

Order no.: 724613531 Client no.: 145787-01
 Client: PHILIPPINE CARABAO CENTER at Mariano Marcos State University



Management Service

1.1 Areas of concern (AC)

Areas of concern must be classified as **critical** (shall be closed before stage 2 audit) and **other** areas of concern. The actions for a critical area of concern must be evaluated as effective by the auditor before the stage 2 audit.

<i>(to be completed by auditor)</i>				<i>(to be completed by client)</i>			<i>(to be completed by auditor)</i>
Clause no.	Process	Area of concern (AC)	Type (critical/ other)	Intended action (only in case of critical areas of concern)	Resp.	Due date	Evaluation of effectiveness /Date
6.1	QMR	Description: The following were noted: <ul style="list-style-type: none"> ● It could not be established as to when the last review of the Risk & Opportunity Assessment was conducted since no date was indicated. The date described was the date the ROA was first released. ● The issue could not immediately be known as it was mixed up with the risks, case in point, for Feeding and Management process, the risk described was Limited area for napier production, but this is really the issue where the risk is Low production performance of the herd resulting to not meeting the targets. ● Even if the initial risk 	other				

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Clause no.	Process	Area of concern (AC)	Type <small>(critical/ other)</small>	Intended action <small>(only in case of critical areas of concern)</small>	Resp.	Due date	Evaluation of effectiveness /Date
		assessment is low, there is still a need to review it as it maybe elevated to moderate or high, depending on the situation. <ul style="list-style-type: none"> ● The Pandemic situation now with the onset of COVID 19 was not yet considered as an issue. Evidence: Risks & Opportunities Assessment for Business Processes Risks & Opportunities Assessment - Context of the Organization					
9.2	IQA Lead Auditor/ QMR	Description: The following were noted: <ul style="list-style-type: none"> ● No IQA has yet been conducted for 2020. Latest IQA was still done in December 2019. ● The criteria or basis for raising findings based on the classification determined by the organization is not yet defined in the system, as when to raise a Major NC, Minor NC, etc. for calibration by all auditors. ● The qualifications of the auditors are not yet described in the procedure. Evidence:	critical				

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Clause no.	Process	Area of concern (AC)	Type (critical/ other)	Intended action (only in case of critical areas of concern)	Resp.	Due date	Evaluation of effectiveness /Date
		Internal Quality Audit Procedure PCC-MMSU-QP-05 Rev. 3 Feb. 6, 2018					
9.3	QMR	Description: No MR conducted for 2020. Latest MR was still done in December 2019. Evidence: No evidence presented	critical				
10.2	QMR	Description: May consider to include unmet targets as one of the triggers in the the implementation of the Procedure for Corrective Action. Evidence: Procedure for Corrective Action PCC-MMSU-QP-04 Rev. 4 Jan. 23, 2017	Other				

Prepared by: Eunice Sol P. Diamante
 Lead Auditor

PHILIPPINE CARABAO CENTER
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Actions for Critical Area of Concern

Clause No.	Process	Area of Concern (AC)	Type (Critical/other)	Intended Action	Responsible Person	Due Date
6.1	QMR	<p>Description:</p> <p>The following were noted:</p> <ul style="list-style-type: none"> • It could not be established as to when the last review of the Risk & Opportunity Assessment was conducted since no date was indicated. The date described was the date the ROA was first released. • The issue could not immediately be known as it was mixed up with the risks, case in point, for Feeding and Management process, the risk described was Limited area for napier production, but this is really the issue where the risk is Low production performance of the herd resulting to not meeting the targets. • Even if the initial risk assessment is low, there is still a need to review it as it may be elevated to moderate or high, depending on the situation. • The Pandemic situation now with the onset of COVID 19 was not yet considered as an issue. <p>Evidence: Risk & Opportunity Assessment for Business Processes</p>	Other	<ul style="list-style-type: none"> • Review and revise the Risk & Opportunity Assessment (ROA) by individual process owners. 	Florencio T. Malicad Jr. & business process owners	Dec. 1-15, 2020

		Risk & Opportunities Assessment- Context of the Organization				
9.2	IQA Lead Auditor/QMR	<p>Description:</p> <p>The following were noted:</p> <ul style="list-style-type: none"> No IQA has yet been conducted for 2020. Latest IQA was still done in December 2019. The criteria or basis for raising findings based on the classification determined by the organization is not yet defined in the system, as when to raise a Major NC, Minor NC, etc. for calibration by all auditors. The qualifications of the auditors are not yet described in the procedure. <p>Evidence: Internal Quality Audit Procedure PCC-MMSU-QP-05 Rev. 3 Feb. 6, 2018</p>	Critical	<ul style="list-style-type: none"> Conduct of IQA Prepare summary reports of IQA in preparation of MR Review and revise the IQA procedure to determine the categories of audit findings 	<p>Melinda G. Galacgac</p> <p>Melinda G. Galacgac</p> <p>Melinda G. Galacgac</p>	<p>Dec. 16-22, 2020</p> <p>Dec. 23, 2020</p> <p>Dec. 7, 2020</p>
9.3	QMR	<p>Description:</p> <p>No MR conducted for 2020. Latest MR was still done in December 2019.</p> <p>Evidence:</p> <p>No evidence presented</p>	Critical	<ul style="list-style-type: none"> Prepare Management Review Agenda Conduct Management Review after IQA 	<p>Florencio T. Malicad Jr.</p> <p>Florencio T. Malicad Jr.</p>	<p>Dec. 23, 2020</p> <p>Dec. 28, 2020</p>
10.2	QMR	<p>Description:</p> <p>May consider to include unmet targets as one of the triggers in the implementation of the Procedure for Corrective Action.</p> <p>Evidence:</p> <p>Procedure for Corrective Action PCC-MMSU-QP-04 Rev. 4 Jan. 23, 2017</p>	Others	<ul style="list-style-type: none"> Review and revise the Control of Non-conforming Products & Services and include unmet targets 	<p>Florencio T. Malicad Jr.</p>	<p>Dec. 8, 2020</p>